

A NOTE ON MAXIMAL SUBGROUPS OF FREE IDEMPOTENT GENERATED SEMIGROUPS OVER BANDS

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Abstract

We prove that all maximal subgroups of the free idempotent generated semigroup over a band B are free for all B belonging to a band variety \mathbf{V} if and only if \mathbf{V} consists either of left seminormal bands, or of right seminormal bands.

Let S be a semigroup, and let $E = E(S)$ be the set of its idempotents; in fact, E , along with the multiplication inherited from S , is a partial algebra. It turns out to be fruitful to restrict further the domain of the partial multiplication defined on E by considering only the pairs $e, f \in E$ for which either $ef \in \{e, f\}$ or $fe \in \{e, f\}$ (i.e. $\{ef, fe\} \cap \{e, f\} \neq \emptyset$). Note that if $ef \in \{e, f\}$ then fe is an idempotent, and the same is true if we interchange the roles of e and f . Such unordered pairs $\{e, f\}$ are called *basic pairs* and their products ef and fe are *basic products*.

The *free idempotent generated semigroup over E* is defined by the following presentation:

$$\text{IG}(E) = \langle E \mid e \cdot f = ef \text{ such that } \{e, f\} \text{ is a basic pair} \rangle.$$

Here ef denotes the product of e and f in S (which is again an idempotent of S), while \cdot stands for the concatenation operation in the free semigroup E^+ (also to be interpreted as the multiplication in its quotient $\text{IG}(E)$). An important feature

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of $\text{IG}(E)$ is that there is a natural homomorphism from $\text{IG}(E)$ onto the subsemigroup of S generated by E , and the restriction of ϕ to the set of idempotents of $\text{IG}(E)$ is a basic-product-preserving bijection onto E , see e.g. [5, 9, 13].

An important background to these definitions is the notion of the *biordered set* [7] of idempotents of a semigroup and its abstract counterpart. The biordered set of idempotents of S is just a partial algebra on $E(S)$ obtained by restricting the multiplication from S to basic pairs of idempotents. In this way we have that if B is a band (an idempotent semigroup), then, even though there is an everywhere defined multiplication on $E(B) = B$, its biordered set [3] is in general still a partial algebra. Another way of treating biordered sets is to consider them as relational structures $(E(S), \leq^{(l)}, \leq^{(r)})$, where the set of idempotents $E(S)$ is equipped by two quasi-order relations defined by

$$\begin{aligned} e &\leq^{(l)} f \text{ if and only if } ef = e, \\ e &\leq^{(r)} f \text{ if and only if } fe = e. \end{aligned}$$

One of the main achievements of [4, 5, 9] is the result that the class of biordered sets considered as relational structures is *axiomatisable*: there is in fact a finite system of formulæ satisfied by biordered sets such that any set endowed with two quasi-orders satisfying the axioms in question is a biordered set of idempotents of some semigroup. In this sense we can speak about the free idempotent generated semigroup over a biordered set E . A fundamental fact which justifies the term ‘free’ is that $\text{IG}(E)$ is the free object in the category of all semigroups S whose biordered set of idempotents is isomorphic to E : if $\psi : E \rightarrow E(S)$ is any isomorphism of biordered sets, then it uniquely extends (via the canonical injection of E into $\text{IG}(E)$) to a homomorphism $\psi' : \text{IG}(E) \rightarrow S$ whose image is the subsemigroup of S generated by $E(S)$. This is also true if ψ is a (surjective) homomorphism of biordered sets (taken as relational structures), so that the freeness property of $\text{IG}(E)$ carries over to even wider categories of semigroups.

In this short note we consider $\text{IG}(B)$, the free idempotent generated semigroup over (the biordered set of) a band B ; more precisely, we are interested in the question whether the maximal subgroups of these semigroups are free. It was conjectured in [8] that each maximal subgroup of any semigroup of the form $\text{IG}(E)$ is a free group. Recently, this was disproved [1] (see also [2]), where a certain 72-element semigroup was found whose biordered set E of idempotents yields a maximal subgroup in $\text{IG}(E)$ isomorphic to $\mathbb{Z} \oplus \mathbb{Z}$, the rank 2 free abelian group. Here we will see that a particular 20-element regular band suffices for the same purpose. In fact, as proved by Gray and Ruškuc in [6], *every* group can be isomorphic to a maximal subgroup of some $\text{IG}(E)$, while the assumption that the semigroup S with $E = E(S)$ is finite yields a sole restriction that the groups

in question are finitely presented. This puts forward many new questions, one of which is the characterisation of bands B for which all subgroups of $\mathsf{IG}(B)$ are free.

More specifically, as a first approximation to the latter question, we may ask for a description of all varieties \mathbf{V} of bands with the property that for each $B \in \mathbf{V}$ the maximal subgroups of $\mathsf{IG}(B)$ are free. To facilitate the discussion, we depict in Fig. 1 the bottom part of the lattice $\mathcal{L}(\mathbf{B})$ of all band varieties, along with their standard labels (see also [15, Diagram II.3.1]).

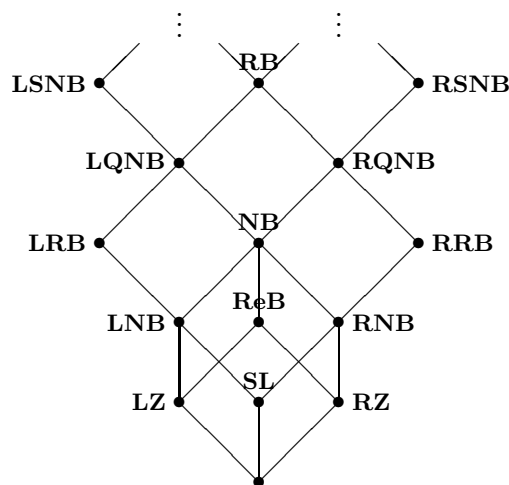


Figure 1: The bottom part of the lattice of all varieties of bands

The main result of this note is the following.

Theorem 1. *Let \mathbf{V} be a variety of bands. Then $\mathsf{IG}(B)$ has all its maximal subgroups free for all $B \in \mathbf{V}$ if and only if \mathbf{V} is contained either in **LSNB** or in **RSNB**.*

This theorem is a direct consequence of the following two propositions.

Proposition 2. *For any left (right) seminormal band B , all maximal subgroups of $\mathsf{IG}(B)$ are free.*

Proposition 3. *There exists a regular band B such that $\mathsf{IG}(B)$ has a maximal subgroup isomorphic to $\mathbb{Z} \oplus \mathbb{Z}$.*

The first of these propositions is a generalisation of the well known result of Pastijn [13, Theorem 6.5] (cf. also [10, 12]) that all maximal subgroups of

$\text{IG}(B)$ are free for any normal band B . The other one supplies a simpler example with the same non-free maximal subgroup than the one considered in [1, Section 5]. The method used is the one from [6], which is based on the Reidemeister-Schreier type rewriting process for obtaining presentations of maximal subgroups of semigroups developed in [11]. So, before turning to the proofs of the above two propositions, we briefly present this general method yielding presentations for maximal subgroups of $\text{IG}(E)$, $E = E(S)$, for an arbitrary semigroup S , and then we explain its particular case when S is a band. Along the way, we assume some familiarity with the most basic notions of semigroup theory, such as Green's relations and the structure of bands, see, for example, [7, 15].

Let S be a semigroup and let D be a \mathcal{D} -class of S containing an idempotent $e_0 \in E(S)$. We are going to label the \mathcal{R} -classes contained in D by R_i , $i \in I$, while L_j , $j \in J$, is the list of all \mathcal{L} -classes of D . The \mathcal{H} -class $R_i \cap L_j$ will be denoted by H_{ij} . Define $\mathcal{K} = \{(i, j) : H_{ij} \text{ is a group}\}$; as is well known, $(i, j) \in \mathcal{K}$ if and only if H_{ij} contains an idempotent, which we denote by e_{ij} . There is no loss of generality if we assume that both I and J contain an index 1, so that $e_0 = e_{11}$.

For a word $\mathbf{w} \in E^*$, let $\overline{\mathbf{w}}$ denote the image of \mathbf{w} under the canonical monoid homomorphism of E^* into S^1 : in other words, when \mathbf{w} is non-empty, $\overline{\mathbf{w}}$ is just the element of S obtained by multiplying in S the idempotents the concatenation of which is \mathbf{w} . We say that a system of words $\mathbf{r}_j, \mathbf{r}'_j \in E^*$, $j \in J$, is a *Schreier system of representatives* for D if for each $j \in J$:

- the right multiplications by $\overline{\mathbf{r}_j}$ and $\overline{\mathbf{r}'_j}$ are mutually inverse \mathcal{R} -class preserving bijections $L_1 \rightarrow L_j$ and $L_j \rightarrow L_1$, respectively (so, in particular, right multiplication by \mathbf{r}_1 is the identity mapping on L_1);
- each prefix of \mathbf{r}_j coincides with $\mathbf{r}_{j'}$ for some $j' \in J$ (in particular, the empty word is just \mathbf{r}_1).

It is well-known that such a Schreier system always exists. In the following, we assume that one particular Schreier system has been fixed.

In addition, we will assume that a mapping $i \mapsto j(i)$ has been specified such that $(i, j(i)) \in \mathcal{K}$: such $j(i)$ must exist for each $i \in I$, since D is a regular \mathcal{D} -class (as it contains an idempotent), and so each \mathcal{R} -class R_i must contain an idempotent. The index $j(i) \in J$ is called the *anchor* of R_i .

Finally, call a *square* a quadruple of idempotents (e, f, g, h) in D such that

$$\begin{array}{ccccc} e & \mathcal{R} & & f & \\ & & \mathcal{L} & & \mathcal{L} \\ g & \mathcal{R} & & h & . \end{array}$$

Then there are $i, k \in I$ and $j, \ell \in J$ such that $e \in H_{ij}$, $f \in H_{i\ell}$, $g \in H_{kj}$ and $h \in H_{k\ell}$. For an idempotent $\varepsilon \in S$ we say that it *singularises* the square (e, f, g, h) if any of the following two cases takes place:

- (a) $\varepsilon e = e$ and $\varepsilon g = g$, while $e = f\varepsilon$; or
- (b) $e = \varepsilon g$, along with $e\varepsilon = e$ and $f\varepsilon = f$.

Note that case (a) implies $\varepsilon f = f$, $\varepsilon h = h$, $e\varepsilon = e$ and $g = g\varepsilon = h\varepsilon$, while conditions $\varepsilon e = e$, $f = \varepsilon f = \varepsilon h$, $g\varepsilon = g$ and $h\varepsilon = h$ follow from (b). The square (e, f, g, h) is *singular* if it is singularised by some idempotent of S . Let Σ be the set of all quadruples $(i, k; j, \ell) \in I \times I \times J \times J$ (to be called *singular rectangles*) such that $(e_{ij}, e_{i\ell}, e_{kj}, e_{k\ell})$ is a singular square in D .

The required general result of [6] can be now paraphrased as follows.

Theorem 4 (Theorem 5 of [6]). *Let S be a semigroup with a non-empty set of idempotents $E = E(S)$. With the notation as above, the maximal subgroup of the free idempotent generated semigroup $\text{IG}(E)$ containing $e_{11} \in E$ is presented by $\langle \Gamma \mid \mathfrak{R} \rangle$, where $\Gamma = \{f_{ij} : (i, j) \in \mathcal{K}\}$, while \mathfrak{R} consists of three types of relations:*

- (i) $f_{i,j(i)} = 1$ for all $i \in I$;
- (ii) $f_{ij} = f_{i\ell}$ for all $i \in I$ and $j, \ell \in J$ such that $\mathbf{r}_j \cdot e_{i\ell} = \mathbf{r}_\ell$;
- (iii) $f_{ij}^{-1} f_{i\ell} = f_{kj}^{-1} f_{k\ell}$ for all $(i, k; j, \ell) \in \Sigma$.

For our purpose, we would like to focus on the particular case when S is a band. Then, clearly, $\mathcal{K} = I \times J$ and $D = \{e_{ij} : i \in I, j \in J\}$. Since $\mathcal{D} = \mathcal{J}$ in any band, the set of all \mathcal{D} -classes of B is partially ordered; it instantly turns out that, by definition, if ε singularises a square (e, f, g, h) in D , then $D_\varepsilon \geq D$. Now any such $\varepsilon \in B$ induces a pair of transformations on I and J , respectively, in the following sense. For each $i \in I$ and $j \in J$ there are $i', k \in I$ and $j', \ell \in J$ such that $\varepsilon e_{ij} = e_{i'\ell}$ and $e_{ij}\varepsilon = e_{kj'}$. One immediately sees that it must be $\ell = j$ and $k = i$, so that B acts on the left on I and on the right on J . Thus it is convenient to write the transformation $\sigma = \sigma_\varepsilon^{(l)}$ induced by ε on I to the left of its argument (so that $ee_{ij} = e_{\sigma(i)j}$), while the analogous transformation $\sigma' = \sigma_\varepsilon^{(r)}$ on J is written to the right (resulting in the rule $e_{ij}e = e_{i(j)\sigma'}$).

Corollary 5. *Let B be a band, let D be a \mathcal{D} -class of B , and let $e_{11} \in D$. Then the maximal subgroup $G_{e_{11}}$ of $\text{IG}(B)$ containing e_{11} is presented by $\langle \Gamma \mid \mathfrak{R} \rangle$, where $\Gamma = \{f_{ij} : i \in I, j \in J\}$ and \mathfrak{R} consists of relations*

$$f_{i1} = f_{1j} = f_{11} = 1 \tag{1}$$

for all $i \in I$ and $j \in J$, and

$$f_{ij}^{-1} f_{i\ell} = f_{kj}^{-1} f_{k\ell}, \quad (2)$$

where for some $\varepsilon \in B$ such that $D_\varepsilon \geq D$ the indices $i, k \in I$, $j, \ell \in J$ satisfy one of the following two conditions:

- (a) $\sigma_\varepsilon^{(l)}(i) = i$, $\sigma_\varepsilon^{(l)}(k) = k$, and $(j)\sigma_\varepsilon^{(r)} = (\ell)\sigma_\varepsilon^{(r)} = \ell$,
- (b) $\sigma_\varepsilon^{(l)}(i) = \sigma_\varepsilon^{(l)}(k) = k$, $(j)\sigma_\varepsilon^{(r)} = j$ and $(\ell)\sigma_\varepsilon^{(r)} = \ell$.

Proof. Since $\mathcal{K} = I \times J$, we have a generator f_{ij} for each $i \in I$ and $j \in J$. Furthermore, the same reason allows us to choose $j(i) = 1$ as the anchor for each $i \in I$. Such a choice will imply that the relations of type (i) from Theorem 4 take the form $f_{i1} = 1$, $i \in I$. In particular, we have $f_{11} = 1$. As for the Schreier system, we can choose \mathbf{r}_1 to be the empty word, $\mathbf{r}_j = e_{1j}$ for all $j \in J \setminus \{1\}$ and $\mathbf{r}'_j = e_{11}$ for all $j \in J$. The system \mathbf{r}_j , $j \in J$, of words over E is obviously prefix-closed. Since $e_{i1}e_{ij} = e_{ij}$ and $e_{ij}e_{11} = e_{i1}$ holds for all $i \in I$, $j \in J$, the right multiplications by e_{ij} and e_{11} are indeed mutually inverse bijections between L_1 and L_j and between L_j and L_1 , respectively. Hence, the relations of type (ii) reduce to $f_{11} = f_{1j}$, that is, $f_{1j} = 1$, for all $j \in J$. Thus we have all the relations (1). Finally, the conditions (a) and (b) express precisely the singularisation of a square $(e_{ij}, e_{i\ell}, e_{kj}, e_{k\ell})$ in D by an element $\varepsilon \in B$; therefore, the relations (2) correspond to relations of type (iii). \square

Rectangles $(i, k; j, \ell) \in I \times J$ of type (a) will be said to be *left-right* singular, while those of type (b) are *up-down* singular (with respect to ε). Another, more compact way of expressing condition (a) is $i, k \in \text{Im } \sigma_\varepsilon^{(l)}$, $\ell \in \text{Im } \sigma_\varepsilon^{(r)}$ and $(j, \ell) \in \text{Ker } \sigma_\varepsilon^{(r)}$, while (b) is equivalent to $k \in \text{Im } \sigma_\varepsilon^{(l)}$, $(i, k) \in \text{Ker } \sigma_\varepsilon^{(l)}$ and $j, \ell \in \text{Im } \sigma_\varepsilon^{(r)}$.

We can now turn to proving our aforementioned result.

Proof of Proposition 2. Without any loss of generality, assume that $B \in \mathbf{RSNB}$ (the case when B belongs to \mathbf{LSNB} is dual). Recall (e.g. from [15, Proposition II.3.8]) that the variety \mathbf{RSNB} satisfies (and is indeed defined by) the identity $tuv = tvtuv$. Therefore, if $B = \bigcup_{\alpha \in Y} B_\alpha$ is the greatest semilattice decomposition of B , $a \in B$ and $x, y \in D = B_\alpha$ for some $\alpha \in Y$, then $x = xyx$ and $y = yxy$. Hence, we have $ax = ax(yx) = ayxaxyx$ and $ay = ay(xy) = axyayxy$, implying $ax\mathcal{R}ay$. In particular, for any $\varepsilon \in B$ such that $D_\varepsilon \geq D$, $\varepsilon e_{ij}\mathcal{R}\varepsilon e_{k\ell}$ holds in D for all $i, k \in I$, $j, \ell \in J$, so the transformation $\sigma_\varepsilon^{(l)}$ is a constant function on I .

We conclude that there are no proper (non-degenerate) rectangles $(i, k; j, \ell)$ that are left-right singular with respect to some $\varepsilon \in B$. In other words, all proper

singular rectangles in $I \times J$ —and thus all nontrivial relations of $G_{e_{11}}$ —are of the up-down kind:

$$f_{ij}^{-1}f_{i\ell} = f_{k_0j}^{-1}f_{k_0\ell},$$

where j, ℓ are two fixed points of $\sigma_\varepsilon^{(r)}$, $i \in I$ is arbitrary, and (since in this context $\sigma_\varepsilon^{(l)}$ is constant) $\text{Im } \sigma_\varepsilon^{(l)} = \{k_0\}$, for some $\varepsilon \in B$. However, now it is straightforward to deduce the relation (2) for *all* $i, k \in I$ and fixed points j, ℓ of $\sigma_\varepsilon^{(r)}$. Thus we are led to define an equivalence θ_B of $\bigcup_{\varepsilon \in B, D_\varepsilon \geq D} \text{Im } \sigma_\varepsilon^{(r)} = J$ which is the transitive closure of the relation ρ_B defined by $(j_1, j_2) \in \rho_B$ if and only if $j_1, j_2 \in \text{Im } \sigma_\varepsilon^{(r)}$ for some $\varepsilon \in B$. Now it is almost immediate to see that for all $i, k \in I$ and $j, \ell \in J$ such that $(j, \ell) \in \theta_B$ we have that

$$f_{ij}^{-1}f_{i\ell} = f_{kj}^{-1}f_{k\ell}$$

holds in $G_{e_{11}}$. This immediately implies $f_{k\ell} = 1$ for all $k \in I$ and $\ell \in 1/\theta_B$, as well as

$$f_{kj} = f_{k\ell}$$

for all $k \in I$, whenever $(j, \ell) \in \theta_B$. So, let $j_1 = 1, j_2 \dots, j_m \in J$ be a cross-section of J/θ_B . Then it is straightforward to eliminate all the relations from the presentation of $G_{e_{11}}$ while reducing its generating set to

$$\{f_{ij_r} : i \in I \setminus \{1\}, 2 \leq r \leq m\}.$$

In other words, $G_{e_{11}}$ is a free group of rank $(|I| - 1)(m - 1)$. \square

Proof of Proposition 3. Let B be the subband of the free regular band on four generators a, b, c, d consisting of two \mathcal{D} -classes: a 2×2 class D_1 consisting of elements ab, aba, ba, bab and a 4×4 class D_0 consisting of elements of the form $\mathbf{u}_1 \mathbf{v} \mathbf{u}_2$, where $\mathbf{u}_1, \mathbf{u}_2 \in \{ab, ba\}$ and $\mathbf{v} \in \{cd, cdc, dc, dcd\}$. So, we can take $I = \{abcd, abdc, bacd, badc\}$, the set of all initial parts of words from D_0 , and $J = \{cdba, dcba, cdab, dcab\}$, the set of all final parts of those words. A direct computation shows that

$$\begin{aligned} \sigma_{ab}^{(l)} &= \sigma_{aba}^{(l)} = \begin{pmatrix} abcd & abdc & badc & bacd \\ abcd & abdc & abdc & abcd \end{pmatrix}, \\ \sigma_{ba}^{(l)} &= \sigma_{bab}^{(l)} = \begin{pmatrix} abcd & abdc & badc & bacd \\ bacd & badc & badc & bacd \end{pmatrix}, \\ \sigma_{ab}^{(r)} &= \sigma_{bab}^{(r)} = \begin{pmatrix} cdba & cdab & dcab & dcba \\ cdab & cdab & dcab & dcab \end{pmatrix}, \\ \sigma_{ba}^{(r)} &= \sigma_{aba}^{(r)} = \begin{pmatrix} cdba & cdab & dcab & dcba \\ cdba & dcba & dcba & dcba \end{pmatrix}. \end{aligned}$$

If we enumerate (for brevity of further calculations) $abcd \rightarrow 1, abdc \rightarrow 2, badc \rightarrow 3, bacd \rightarrow 4$ and $cdab \rightarrow 1, cdab \rightarrow 2, dcab \rightarrow 3, dcba \rightarrow 4$, we get

$$\begin{aligned}\sigma_{ab}^{(l)} = \sigma_{aba}^{(l)} &= \begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 2 & 2 & 1 \end{pmatrix}, & \sigma_{ba}^{(l)} = \sigma_{bab}^{(l)} &= \begin{pmatrix} 1 & 2 & 3 & 4 \\ 4 & 3 & 3 & 4 \end{pmatrix}, \\ \sigma_{ab}^{(r)} = \sigma_{bab}^{(r)} &= \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 2 & 3 & 3 \end{pmatrix}, & \sigma_{ba}^{(r)} = \sigma_{aba}^{(r)} &= \begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 1 & 4 & 4 \end{pmatrix}.\end{aligned}$$

Hence, the list of singular rectangles is exhausted by:

$$\begin{aligned}(1, 2; 1, 2), (1, 2; 3, 4), (3, 4; 1, 2), (3, 4; 3, 4), \\ (1, 4; 2, 3), (1, 4; 1, 4), (2, 3; 2, 3), (2, 3; 1, 4).\end{aligned}$$

This results in $f_{11} = f_{12} = f_{13} = f_{14} = f_{21} = f_{31} = f_{41} = f_{22} = f_{44} = 1$ and

$$\begin{aligned}f_{23} = f_{24}, \quad f_{24} = f_{34}, \quad f_{43}^{-1} = f_{33}^{-1} f_{34} \\ f_{32} = f_{42}, \quad f_{42} = f_{43}, \quad f_{23} = f_{32}^{-1} f_{33}.\end{aligned}$$

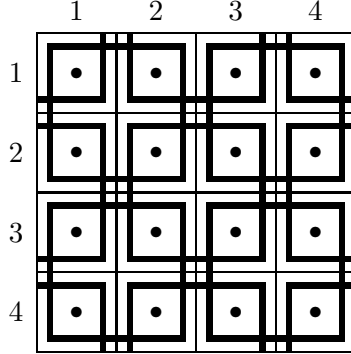


Figure 2: The rectangles in D_0 singularised by elements of D_1

If we denote $x = f_{23}$ and $y = f_{32}$ we obviously remain with these two generators for G_{abcdba} and a single relation

$$yx = f_{33} = xy,$$

so $G_{abcdba} \cong \mathbb{Z} \oplus \mathbb{Z}$. □

This completes the proof of Theorem 1.

Remark 6. The band B from the previous proof can be also realised as a regular subband of the free band FB_3 on three generators a, b, c whose elements are from $D'_1 = \{ab, aba, ba, bab\}$ and $D'_0 = \{\mathbf{u}\mathbf{c}\mathbf{v} : \mathbf{u}, \mathbf{v} \in D'_1\}$.

We finish the note by several problems that might be subjects of future research in this direction.

Problem 1. Characterise all bands B with the property that $\text{IG}(B)$ has a non-free maximal subgroup.

Problem 2. Characterise all groups that arise as maximal subgroups of $\text{IG}(B)$ for some band B . The same problem stands for regular bands B , and in fact for $B \in \mathbf{V}$ for any particular band variety $\mathbf{V} \geq \mathbf{RB}$.

Problem 3. Given a band variety \mathbf{V} and an integer $n \geq 1$, describe the maximal subgroups of $\text{IG}(\mathfrak{F}_n \mathbf{V})$, where $\mathfrak{F}_n \mathbf{V}$ denotes the \mathbf{V} -free band on a set of n free generators [16].

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